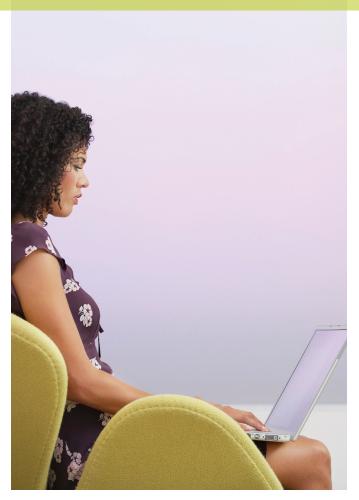


## BrokerCheck®

An Online Tool to Help Investors Check the Background of Individual Investment Professionals and Firms



## FINRA BrokerCheck®

Successful investing often starts by selecting an investment professional or firm that is right for you. To help you make informed decisions when choosing someone to manage your investments, FINRA provides BrokerCheck®—an important tool that delivers critical information about FINRA-registered securities firms and brokers, as well as investment adviser firms and representatives.

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